## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

Principal Financial Group, Inc.; Principal Financial: Services, Inc.; Principal Life Insurance Company; Principal Capital Interest Only I, LLC; Principal Commercial Funding, LLC; Principal Commercial : MASTER FILE NO. 11-MD-2262-NRB Funding II, LLC; and Principal Real Estate Investors, LLC;

: DEFENDANT ICAP PLC'S RULE 7.1

: CASE NO. 13-CV-6014-NRB

: DISCLOSURE STATEMENT

Plaintiffs,

v.

Bank of America Corporation; Bank of America, N.A.; Bank of Tokyo-Mitsubishi UJF Ltd.; Barclays: Bank PLC; British Bankers' Association; BBA Enterprises, Ltd.; BBA Libor, Ltd.; Citigroup, Inc.; : Citibank, N.A.; Coöperatieve Centrale Raiffseisen- : Boerenleenbank, B.A.; Credit Suisse Group AG; Deutsche Bank AG; HBOS PLC; HSBC Holdings PLC; HSBC Bank PLC; ICAP PLC; JPMorgan Chase & Co.; JPMorgan Chase Bank, N.A.; Lloyds: Banking Group PLC; The Norinchukin Bank; Portigon AG; Royal Bank of Canada; The Royal Bank of Scotland Group PLC; R.P. Martin Holdings: Ltd.; Société Générale, S.A.; Tullett Prebon PLC; UBS AG; WestLB AG; and Westdeutsche ImmobilienBank AG;

Defendants.

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel of record for Defendant ICAP plc hereby states as follows:

ICAP plc is a public limited company that has no parent organization. Schroders plc, a public limited company, owns greater than 10% of ICAP plc's stock. ICAP plc makes the

preceding disclosure without prejudice to its right to contest the sufficiency of service of process and personal jurisdiction in the above-captioned action.

Dated: New York, New York October 3, 2014

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